

FINAL
31-12-13
Submitted
date: 28-02-14
Reference #
= 1830310 =

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN	
Monthly Statement of Affairs	
Name	SETHI SECURITIES (SMC-PVT.) LIMITED
Name of Member	SETHI SECURITIES (SMC-PVT.) LIMITED
Broker Registration Number	
Date of Initial Registration as Broker	25/06/2008
Next Date of Renewal of COR	27/04/2014
TREC Number - KSE	
Date of Acquiring TREC - KSE	
TREC Number - LSE	LSE/068/2012
Date of Acquiring TREC - LSE	13/08/2012
TREC Number - ISE	
Date of Acquiring TREC - ISE	
Date of Incorporation of the company	26/06/2007
Address of Registered Office	Room number 107 Lahore Stock exchange Building Lahore
Number of branches: (Annexure - A)	0
Number of registered agents: (Annexure - B)	0
Number of Clients: (Annexure-C)	241
Return for the month ended	Dec-2013

Sr. No	ASSETS	Annexure	Amount in Million of Rupees		
			Dec-13 Current Month	Sep-13 Previous Month	Increase / (Decrease)
1.	Current Assets				
(i)	Cash and Bank	1	6.5570	6.9185	-5.23%
(ii)	Trade Debts - Net	2	3.5089	6.8165	-48.52%
(iii)	Short Term Investments	3	13.3282	13.3672	-.29%
(iv)	Current Portion of Loans and Advances Receivable		0.1221	0.1062	14.97%
(v)	Accrued Income	4	0.0000	0.0000	.00%
(vi)	Short Term Prepayments, Deposits and other Receivables		2.8000	0.0000	.00%
(vii)	Advance income tax		0.0890	0.0876	1.60%
(viii)	Other Current Assets		0.0000	0.0000	.00%
2.	Total Current Assets		26.4052	27.2960	-3.26%
3.	Non-Current Assets				
(i)	Business Property	5	8.6948	11.3355	-23.30%
(ii)	Value of TREC	6	4.0000	4.0000	.00%
(iii)	Investments in Associated Undertakings	7	0.0000	0.0000	.00%
(iv)	Long Term Investments	8	0.0000	0.0000	.00%
(v)	Deposits with Stock Exchange, NCCPL and CDC	9	2.8727	2.8727	.00%
(vi)	Goodwill, Patents and other Intangible Assets		0.0000	0.0000	.00%
(vii)	Deferred Tax		0.0000	0.0000	.00%
(viii)	Other Long Term Assets		0.0000	0.0000	.00%
4.	Total Non-Current Assets		15.5675	18.2082	-14.50%
5.	TOTAL ASSETS		41.9727	45.5042	-7.76%
	LIABILITIES AND EQUITY				
6.	Current Liabilities				
(i)	Trade Payables	10	7.3999	10.0566	-26.42%
(ii)	Current portion of Accrued Markup	11	0.0000	0.0000	.00%
(iii)	Short Term Bank Borrowings	12	0.0000	0.0000	.00%
(iv)	Current Portion of Long Term Loans		0.0000	0.0000	.00%
(v)	Other Liabilities		0.2029	0.0463	338.23%
(vi)	Provision for Taxation		0.1541	0.1541	.00%
7.	Total Current Liabilities		7.7569	10.2570	-24.37%
8.	Non-Current Liabilities				
(i)	Long Term Loans	13	0.0000	0.0000	.00%
(ii)	Accrued Markup on Long Term Liabilities	14	0.0000	0.0000	.00%
(iii)	Staff Retirement Benefits		0.0000	0.0000	.00%
(iv)	Deferred Tax		0.0000	0.0000	.00%
(v)	Others		0.0000	0.0000	.00%
7.	Total Non-Current Liabilities		0.0000	0.0000	.00%
8.	Equity				
Capital		15	34.2158	35.2472	-2.93%
9.	TOTAL LIABILITIES & CAPITAL		41.9727	45.5042	-7.76%
10.	Off-Balance Sheet Ranking Liabilities		0.0000	0.0000	.00%
11.	Pledge Details		0.0000	0.0000	.00%
12.	Leverage Market Position		0.0000	0.0000	.00%

The figures submitted through this return are true to the best of our knowledge and nothing in this regard has been concealed.

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN

Quarterly Statement of Affairs

Name	SETHI SECURITIES (SMC-PVT.) LIMITED
Name of Member	SETHI SECURITIES (SMC-PVT.) LIMITED
Broker Registration Number	
Date of Initial Registration as Broker	25/06/2008
Next Date of Renewal of COR	27/04/2014
TREC Number - KSE	
Date of Acquiring TREC - KSE	
TREC Number - LSE	LSE/068/2012
Date of Acquiring TREC - LSE	13/08/2012
TREC Number - ISE	
Date of Acquiring TREC - ISE	
Date of Incorporation of the company	26/06/2007
Date of Acquiring Initial Membership	
Address of Registered Office	Room number 107 Lahore Stock exchange Building Lahore
Number of branches: (Annexure - A)	0
Number of registered agents: (Annexure - B)	0
Number of Clients: (Annexure-C)	241
Return for the quarter	Mar-2014

FINAL
31-03-14
Submitted
Date: 28-04-14
Reference #
1865700
S. Farhan

		(Amount in Rupees)			
Sr. No	SETS	Annexure	Current Quarter	Previous Quarter	Increase / (Decrease)
1.	Current Assets				
(i)	Cash and Bank	1	18,902,910	6,557	288285853.94%
(ii)	Trade Debts - Net	2	17,116,306	3,5089	487796816.41%
(iii)	Short Term Investments	3	0	13,3282	-100.00%
(iv)	Current Portion of Loans and Advances Receivable		321,896	0,1221	263632987.63%
(v)	Short Term Prepayments, Deposits and other Receivables		0	2,8	-100.00%
(vi)	Other Current Assets		0	0	.00%
2.	Total Current Assets		36,341,112	26,4052	137628518.61%
3.	Non-Current Assets				
(i)	Business Property	5	11,612,838	8,6948	133560625.95%
(ii)	Value of TREC	6	4,000,000	4	99999900.00%
(iii)	Investments in Associated Undertakings	7	0	0	.00%
(iv)	Long Term Investments	8	3,810,199	0	.00%
(v)	Deposits with Stock Exchange, NCCPL and CDC	9	2,872,720	2,8727	100000596.21%
(vi)	Other Non Current Assets		0	0	.00%
4.	TOTAL ASSETS		58,636,869	41,9727	139702299.42%
LIABILITIES AND EQUITY					
6.	Current Liabilities				
(i)	Trade Payables	10	23,977,320	7,3999	324022116.52%
(ii)	Current portion of Accrued Markup	11	0	0	.00%
(iii)	Short Term Bank Borrowings	12	0	0	.00%
(iv)	Current Portion of Long Term Loans		0	0	.00%
(v)	Other Liabilities		395,955	0,2029	195147756.09%
(vi)	Provision for Taxation				-100.00%
7.	Total Current Liabilities		24,373,275	7,7569	314214019.04%
6.	Non-Current Liabilities				
(i)	Long Term Loans	13	0	0	.00%
(ii)	Accrue Markup on Long Term Liabilities	14	0	0	.00%
(iii)	Others Liabilities		0	0	.00%
7.	Total Non-Current Liabilities		0	0	.00%
8.	Equity				
	Capital	15	34,263,594	34,2158	100139584.01%
9.	TOTAL LIABILITIES & CAPITAL		58,636,869	41,9727	139702299.42%
11.	Pledge Details	17	0	0	.00%
12.	Leverage Market Position	18	0	0	.00%

The figures submitted through this return are true to the best of our knowledge and nothing in this regard has been concealed.

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN

Quarterly Statement of Affairs

Name	SETHI SECURITIES (SMC-PVT.) LIMITED
Name of Member	SETHI SECURITIES (SMC-PVT.) LIMITED
Broker Registration Number	
Date of Initial Registration as Broker	25/06/2008
Next Date of Renewal of COR	27/04/2014
TREC Number - KSE	
Date of Acquiring TREC - KSE	
TREC Number - LSE	LSE/068/2012
Date of Acquiring TREC - LSE	13/08/2012
TREC Number - ISE	
Date of Acquiring TREC - ISE	
Date of Incorporation of the company	26/06/2007
Date of Acquiring Initial Membership	
Address of Registered Office	Room number 107 Lahore Stock exchange Building Lahore
Number of branches: (Annexure - A)	0
Number of registered agents: (Annexure - B)	0
Number of Clients: (Annexure-C)	241
Return for the quarter	Jun-2014

(Amount in Rupees)

Sr. No	ASSETS	Annexure	Current Quarter	Previous Quarter	Increase / (Decrease)
1	Current Assets				
(i)	Cash and Bank	1	20,510,482	18,902,910	8.50%
(ii)	Trade Debts - Net	2	2,088,699	17,116,306	-87.80%
(iii)	Short Term Investments	3	9,549,553	0	.00%
(iv)	Current Portion of Loans and Advances Receivable		366,812	321,896	13.95%
(v)	Short Term Prepayments, Deposits and other Receivables		0	0	.00%
(vi)	Other Current Assets		0	0	.00%
2	Total Current Assets		32,515,546	36,341,112	-10.53%
3	Non-Current Assets				
(i)	Business Property	5	11,533,058	11,612,838	-.69%
(ii)	Value of TREC	6	4,000,000	4,000,000	.00%
(iii)	Investments in Associated Undertakings	7	0	0	.00%
(iv)	Long Term Investments	8	3,810,199	3,810,199	.00%
(v)	Deposits with Stock Exchange, NCOPL and CDC	9	2,872,720	2,872,720	.00%
(vi)	Other Non Current Assets		0	0	.00%
4	TOTAL ASSETS		54,731,523	58,636,869	-6.66%
	LIABILITIES AND EQUITY				
6	Current Liabilities				
(i)	Trade Payables	10	20,010,348	23,977,320	-16.54%
(ii)	Current portion of Accrued Markup	11	0	0	.00%
(iii)	Short Term Bank Borrowings	12	0	0	.00%
(iv)	Current Portion of Long Term Loans		0	0	.00%
(v)	Other Liabilities		485,070	395,955	22.51%
(vi)	Provision for Taxation				.00%
7	Total Current Liabilities		20,495,418	24,373,275	-15.91%
6	Non-Current Liabilities				
(i)	Long Term Loans	13	0	0	.00%
(ii)	Accrued Markup on Long Term Liabilities	14	0	0	.00%
(iii)	Others Liabilities		0	0	.00%
7	Total Non-Current Liabilities		0	0	.00%
8	Equity				
	Capital	15	34,236,105	34,263,594	-.08%
9	TOTAL LIABILITIES & CAPITAL		54,731,523	58,636,869	-6.66%
11	Pledge Details	17	0	0	.00%
12	Leverage Market Position	18	0	0	.00%

The figures submitted through this return are true to the best of our knowledge and nothing in this regard has been concealed.

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN

Quarterly Statement of Affairs

Name	SETHI SECURITIES (SMC-PVT.) LIMITED
Name of Member	SETHI SECURITIES (SMC-PVT.) LIMITED
Broker Registration Number	
Date of Initial Registration as Broker	25/08/2008
Next Date of Renewal of COR	27/04/2014
TREC Number - KSE	
Date of Acquiring TREC - KSE	
TREC Number - LSE	LSE/068/2012
Date of Acquiring TREC - LSE	13/08/2012
TREC Number - ISE	
Date of Acquiring TREC - ISE	
Date of Incorporation of the company	26/06/2007
Date of Acquiring Initial Membership	
Address of Registered Office	Room number 107 Lahore Stock exchange Building Lahore
Number of branches: (Annexure - A)	0
Number of registered agents: (Annexure - B)	0
Number of Clients: (Annexure-C)	241
Return for the quarter	Sep-2014

30-9-14
30-9-14

Sr. No	ASSETS	Annexure	(Amount in Rupees)		
			Current Quarter	Previous Quarter	Increase/(Decrease)
1	Current Assets				
	(i) Cash and Bank	1	12,768,790	20,510,482	-37.75%
	(ii) Trade Debits - Net	2	2,862,093	2,088,699	37.03%
	(iii) Short Term Investments	3	9,549,553	9,549,553	0.00%
	(iv) Current Portion of Loans and Advances Receivable		418,280	366,812	14.03%
	(v) Short Term Prepayments, Deposits and other Receivables		0	0	0.00%
	(vi) Other Current Assets		0	0	0.00%
2	Total Current Assets		25,598,716	32,515,546	-21.27%
3	Non-Current Assets				
	(i) Business Property	5	11,455,418	11,533,058	-0.67%
	(ii) Value of TREC	6	4,000,000	4,000,000	0.00%
	(iii) Investments in Associated Undertakings	7	0	0	0.00%
	(iv) Long Term Investments	8	8,884,049	3,810,199	133.16%
	(v) Deposits with Stock Exchange, NCCPL and CDC	9	2,872,720	2,872,720	0.00%
	(vi) Other Non Current Assets		0	0	0.00%
4	TOTAL ASSETS		52,810,903	54,731,523	-3.51%
	LIABILITIES AND EQUITY				
5	Current Liabilities				
	(i) Trade Payables	10	14,140,294	20,010,348	-29.34%
	(ii) Current portion of Accrued Markup	11	0	0	0.00%
	(iii) Short Term Bank Borrowings	12	0	0	0.00%
	(iv) Current Portion of Long Term Loans		0	0	0.00%
	(v) Other Liabilities		424,481	485,070	-12.48%
	(vi) Provision for Taxation				0.00%
7	Total Current Liabilities		14,564,785	20,495,418	-28.94%
6	Non-Current Liabilities				
	(i) Long Term Loans	13	0	0	0.00%
	(ii) Accrued Markup on Long Term Liabilities	14	0	0	0.00%
	(iii) Others Liabilities		0	0	0.00%
7	Total Non-Current Liabilities		0	0	0.00%
8	Equity				
	Capital	15	38,246,118	34,236,105	11.71%
9	TOTAL LIABILITIES & CAPITAL		52,810,903	54,731,523	-3.51%
11	Pledge Details	17	0	0	0.00%
12	Leverage Market Position	18	0	0	0.00%

The figures submitted through this return are true to the best of our knowledge and nothing in this regard has been concealed.

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN

Quarterly Statement of Affairs

Name	SETHI SECURITIES (SMC-PVT.) LIMITED
Name of Member	SETHI SECURITIES (SMC-PVT.) LIMITED
Broker Registration Number	
Date of Initial Registration as Broker	25/06/2008
Next Date of Renewal of COR	27/04/2014
TREC Number - KSE	
Date of Acquiring TREC - KSE	
TREC Number - LSE	LSE/068/2012
Date of Acquiring TREC - LSE	13/08/2012
TREC Number - ISE	
Date of Acquiring TREC - ISE	
Date of Incorporation of the company	26/06/2007
Date of Acquiring Initial Membership	
Address of Registered Office	Room number 107 Lahore Stock exchange Building Lahore
Number of branches: (Annexure - A)	0
Number of registered agents: (Annexure - B)	0
Number of Clients: (Annexure - C)	241
Return for the quarter	Dec-2014

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 I.D.# 1984805

Sr. No	ASSETS	Annexure	(Amount in Rupees)		
			Current Quarter	Previous Quarter	Increase / (Decrease)
1	Current Assets				
	Cash and bank	1	7,640,281	12,768,790	-40.16%
	Trade Debtors - Net	2	2,428,146	2,862,093	-15.16%
	Short Term Investments	3	9,549,553	9,549,553	.00%
	Current Portion of Loans and Advances Receivable		458,933	418,280	9.72%
	Short Term Prepayments, Deposits and other Receivables		0	0	.00%
	Other Current Assets		0	0	.00%
2	Total Current Assets		20,076,913	25,598,716	-21.57%
3	Non-Current Assets				
	Business Property	5	11,379,856	11,455,418	-.66%
	Value of TREC	6	4,000,000	4,000,000	.00%
	Investments in Associated Undertakings	7	0	0	.00%
	Long Term Investments	8	8,884,049	8,884,049	.00%
	Deposits with Stock Exchange, NCCPL and CDC	9	2,872,720	2,872,720	.00%
	Other Non Current Assets		0	0	.00%
4	TOTAL ASSETS		47,213,538	52,810,903	-10.60%
	LIABILITIES AND EQUITY				
5	Current Liabilities				
	Trade Payables	10	8,152,407	14,140,294	-42.35%
	Current portion of Accrued Markup	11	0	0	.00%
	Short Term Bank Borrowings	12	0	0	.00%
	Current Portion of Long Term Loans		0	0	.00%
	Other Liabilities		440,274	424,491	3.72%
	Provision for Taxation		0	0	.00%
	Total Current Liabilities		8,592,681	14,564,785	-41.00%
6	Non-Current Liabilities				
	Long Term Loans	13	0	0	.00%
	Accrued Markup on Long Term Liabilities	14	0	0	.00%
	Others Liabilities		0	0	.00%
7	Total Non-Current Liabilities		0	0	.00%
8	Equity				
	Capital	15	38,620,857	38,246,118	.98%
9	TOTAL LIABILITIES & CAPITAL		47,213,538	52,810,903	-10.60%
11	Pledge Details	17	0	0	.00%
12	Leverage Market Position	18	0	0	.00%

The figures submitted through this return are true to the best of our knowledge and nothing in this regard has been concealed.

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN

Quarterly Statement of Affairs

Name	SETHI SECURITIES (SMC-PVT.) LIMITED
Name of Member	SETHI SECURITIES (SMC-PVT.) LIMITED
Broker Registration Number	
Date of Initial Registration as Broker	25/06/2008
Next Date of Renewal of COR	27/04/2014
TREC Number - KSE	
Date of Acquiring TREC - KSE	
TREC Number - LSE	LSE/068/2012
Date of Acquiring TREC - LSE	13/08/2012
TREC Number - ISE	
Date of Acquiring TREC - ISE	
Date of Incorporation of the company	26/06/2007
Date of Acquiring Initial Membership	
Address of Registered Office	Room number 107 Lahore Stock exchange Building Lahore
Number of branches: (Annexure - A)	0
Number of registered agents: (Annexure - B)	0
Number of Clients: (Annexure-C)	216
Return for the quarter	Mar-2015

May-15
 Pasted
 dt: 30-4-15
 I.D.# 202 1157
 S. Pakistan
 9:45 am

(Amount in Rupees)

Sr. No.	Annexure	Current Quarter	Previous Quarter	Increase / (Decrease)
1	Current Assets			
(i)	Cash and Bank	5,798,112	7,640,281	-24.11%
(ii)	Trade Debts - Net	1,596,115	2,428,146	-34.27%
(iii)	Short Term Investments	9,549,553	9,549,553	.00%
(iv)	Current Portion of Loans and Advances Receivable	473,234	458,933	3.12%
(v)	Short Term Prepayments, Deposits and other Receivables	0	0	.00%
(vi)	Other Current Assets	0	0	.00%
2	Total Current Assets	17,417,014	20,076,913	-13.25%
3	Non-Current Assets			
(i)	Business Property	11,306,309	11,379,856	-.65%
(ii)	Value of TREC	4,000,000	4,000,000	.00%
(iii)	Investments in Associated Undertakings	0	0	.00%
(iv)	Long Term Investments	8,884,049	8,884,049	.00%
(v)	Deposits with Stock Exchange, NCCPL and CDC	2,872,720	2,872,720	.00%
(vi)	Other Non Current Assets	0	0	.00%
4	TOTAL ASSETS	44,480,092	47,213,538	-5.79%
	LIABILITIES AND EQUITY			
6	Current Liabilities			
(i)	Trade Payables	5,695,591	8,152,407	-30.14%
(ii)	Current portion of Accrued Markup	0	0	.00%
(iii)	Short Term Bank Borrowings	0	0	.00%
(iv)	Current Portion of Long Term Loans	0	0	.00%
(v)	Other Liabilities	436,629	440,274	-.83%
(vi)	Provision for Taxation			.00%
7	Total Current Liabilities	6,132,220	8,592,681	-28.63%
6	Non-Current Liabilities			
(i)	Long Term Loans	0	0	.00%
(ii)	Accrued Markup on Long Term Liabilities	0	0	.00%
(iii)	Others Liabilities	0	0	.00%
7	Total Non-Current Liabilities	0	0	.00%
8	Equity			
(i)	Capital	38,347,872	38,620,857	-.71%
9	TOTAL LIABILITIES & CAPITAL	44,480,092	47,213,538	-5.79%
11	Pledge Details	0	0	.00%
12	Leverage Market Position	0	0	.00%

The figures submitted through this return are true to the best of our knowledge and nothing in this regard has been concealed.

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN

Quarterly Statement of Affairs

Name	SETHI SECURITIES (SMC-PVT.) LIMITED
Name of Member	SETHI SECURITIES (SMC-PVT.) LIMITED
Broker Registration Number	
Date of Initial Registration as Broker	25/06/2008
Next Date of Renewal of COR	27/04/2014
TREC Number - KSE	
Date of Acquiring TREC - KSE	
TREC Number - LSE	LSE/068/2012
Date of Acquiring TREC - LSE	13/08/2012
TREC Number - ISE	
Date of Acquiring TREC - ISE	
Date of Incorporation of the company	26/06/2007
Date of Acquiring Initial Membership	
Address of Registered Office	Room number 107 Lahore Stock exchange Building Lahore
Number of branches: (Annexure - A)	0
Number of registered agents: (Annexure - B)	0
Number of Clients: (Annexure-C)	216
Return for the quarter	Jun-2015

(Amount in Rupees)

Sr. No	ASSETS	Annexure	Current Quarter	Previous Quarter	Increase /(Decrease)
1.	Current Assets				
(i)	Cash and Bank	1	17,463,403	5,798,112	201.19%
(ii)	Trade Debts - Net	2	2,124,285	1,596,115	33.09%
(iii)	Short Term Investments	3	9,551,460	9,549,553	.02%
(iv)	Current Portion of Loans and Advances Receivable		672,129	473,234	42.03%
(v)	Short Term Prepayments, Deposits and other Receivables		0	0	.00%
(vi)	Other Current Assets		0	0	.00%
2.	Total Current Assets		29,811,277	17,417,014	71.16%
3.	Non-Current Assets				
(i)	Business Property	5	11,234,717	11,306,309	-.63%
(ii)	Value of TREC	6	4,000,000	4,000,000	.00%
(iii)	Investments in Associated Undertakings	7	0	0	.00%
(iv)	Long Term Investments	8	8,884,049	8,884,049	.00%
(v)	Deposits with Stock Exchange, NCCPL and CDC	9	2,872,720	2,872,720	.00%
(vi)	Other Non Current Assets		0	0	.00%
4.	TOTAL ASSETS		56,802,763	44,480,092	27.70%
	LIABILITIES AND EQUITY				
6.	Current Liabilities				
(i)	Trade Payables	10	17,161,735	5,695,591	201.32%
(ii)	Current portion of Accrued Markup	11	0	0	.00%
(iii)	Short Term Bank Borrowings	12	0	0	.00%
(iv)	Current Portion of Long Term Loans		0	0	.00%
(v)	Other Liabilities		456,869	436,629	4.64%
(vi)	Provision for Taxation				.00%
7.	Total Current Liabilities		17,618,604	6,132,220	187.31%
6.	Non-Current Liabilities				
(i)	Long Term Loans	13	0	0	.00%
(ii)	Accrued Markup on Long Term Liabilities	14	0	0	.00%
(iii)	Others Liabilities		0	0	.00%
7.	Total Non-Current Liabilities		0	0	.00%
8.	Equity				
	Capital	15	39,184,159	38,347,672	2.18%
9.	TOTAL LIABILITIES & CAPITAL		56,802,763	44,480,092	27.70%
11	Pledge Details		0	0	.00%
12	Leverage Market Position		0	0	.00%

The figures submitted through this return are true to the best of our knowledge and nothing in this regard has been concealed.

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN	
Quarterly Statement of Affairs	
Name	SETHI SECURITIES (SMC-PVT.) LIMITED
Name of Member	SETHI SECURITIES (SMC-PVT.) LIMITED
Broker Registration Number	
Date of Initial Registration as Broker	25/06/2008
Next Date of Renewal of COR	27/04/2014
TREC Number - KSE	
Date of Acquiring TREC - KSE	
TREC Number - LSE	LSE/068/2012
Date of Acquiring TREC - LSE	13/08/2012
TREC Number - ISE	
Date of Acquiring TREC - ISE	
Date of Incorporation of the company	26/08/2007
Date of Acquiring Initial Membership	
Address of Registered Office	Room number 107 Lahore Stock exchange Building Lahore
Number of branches: (Annexure - A)	0
Number of registered agents: (Annexure - B)	0
Number of Clients: (Annexure-C)	218
Return for the quarter	Sep-2015

(Amount in Rupees)

Sr. No	ASSETS	Annexure	Current Quarter	Previous Quarter	Increase / (Decrease)
1.	Current Assets				
(i)	Cash and Bank	1	5,089,626	17,463,403	-70.86%
(ii)	Trade Debts - Net	2	1,454,896	2,124,265	-31.51%
(iii)	Short Term Investments	3	28,592,668	9,551,460	199.35%
(iv)	Current Portion of Loans and Advances Receivable		684,986	672,129	1.91%
(v)	Short Term Prepayments, Deposits and other Receivables		0	0	00%
(vi)	Other Current Assets		0	0	00%
2.	Total Current Assets		35,822,174	29,811,277	20.16%
3.	Non-Current Assets				
(i)	Business Property	5	11,183,189	11,234,717	-46%
(ii)	Value of TREC	6	4,000,000	4,000,000	00%
(iii)	Investments in Associated Undertakings	7	0	0	00%
(iv)	Long Term Investments	8	9,790,110	8,884,049	10.20%
(v)	Deposits with Stock Exchange, NCCPL and CDC	9	2,872,720	2,872,720	00%
(vi)	Other Non Current Assets		0	0	00%
4.	TOTAL ASSETS		63,668,193	56,802,763	12.09%
	LIABILITIES AND EQUITY				
6.	Current Liabilities				
(i)	Trade Payables	10	4,452,754	17,161,735	-74.05%
(ii)	Current portion of Accrued Markup	11	0	0	00%
(iii)	Short Term Bank Borrowings	12	0	0	00%
(iv)	Current Portion of Long Term Loans		0	0	00%
(v)	Other Liabilities		449,009	456,869	-1.72%
(vi)	Provision for Taxation				00%
7.	Total Current Liabilities		4,901,763	17,618,604	-72.18%
6.	Non-Current Liabilities				
(i)	Long Term Loans	13	0	0	00%
(ii)	Accrued Markup on Long Term Liabilities	14	0	0	00%
(iii)	Others Liabilities		0	0	00%
7.	Total Non-Current Liabilities		0	0	00%
8.	Equity				
	Capital	15	58,786,430	39,184,159	49.97%
9.	TOTAL LIABILITIES & CAPITAL		63,668,193	56,802,763	12.09%
11	Pledge Details		0	0	00%
12	Leverage Market Position		0	0	00%

The figures submitted through this return are true to the best of our knowledge and nothing in this regard has been concealed.

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN	
Quarterly Statement of Affairs	
Name	SETHI SECURITIES (SMC-PVT.) LIMITED
Name of Member	SETHI SECURITIES (SMC-PVT.) LIMITED
Broker Registration Number	
Date of Initial Registration as Broker	25/06/2008
Next Date of Renewal of COR	27/04/2014
TREC Number - KSE	
Date of Acquiring TREC - KSE	
TREC Number - LSE	LSE/068/2012
Date of Acquiring TREC - LSE	13/08/2012
TREC Number - ISE	
Date of Acquiring TREC - ISE	
Date of Incorporation of the company	26/08/2007
Date of Acquiring Initial Membership	
Address of Registered Office	Room number 107 Lahore Stock exchange Building Lahore
Number of branches: (Annexure - A)	0
Number of registered agents: (Annexure - B)	0
Number of Clients: (Annexure-C)	218
Return for the quarter	Dec-2015

		(Amount in Rupees)			
Sr. No	ASSETS	Annexure	Current Quarter	Previous Quarter	Increase / (Decrease)
1.	Current Assets				
(i)	Cash and Bank	1	3,604,813	5,089,626	-29.17%
(ii)	Trade Debts - Net	2	2,206,377	1,454,896	55.78%
(iii)	Short Term Investments	3	30,890,038	9,551,820	223.39%
(iv)	Current Portion of Loans and Advances Receivable		844,306	664,986	23.26%
(v)	Short Term Prepayments, Deposits and other Receivables		0	0	0.00%
(vi)	Other Current Assets		0	0	0.00%
2.	Total Current Assets		37,605,532	16,781,328	124.09%
3.	Non-Current Assets				
(i)	Business Property	5	11,112,022	11,183,189	- 64%
(ii)	Value of TREC	6	4,000,000	4,000,000	0.00%
(iii)	Investments in Associated Undertakings	7	0	0	0.00%
(iv)	Long Term Investments	8	9,790,110	8,884,049	10.20%
(v)	Deposits with Stock Exchange, NCCPL and CDC	9	2,872,720	2,872,720	0.00%
(vi)	Other Non Current Assets		0	0	0.00%
4.	TOTAL ASSETS		65,380,384	43,721,286	49.54%
	LIABILITIES AND EQUITY				
6.	Current Liabilities				
(i)	Trade Payables	10	3,236,835	4,452,754	-27.31%
(ii)	Current portion of Accrued Markup	11	0	0	0.00%
(iii)	Short Term Bank Borrowings	12	0	0	0.00%
(iv)	Current Portion of Long Term Loans		0	0	0.00%
(v)	Other Liabilities		461,867	449,009	2.86%
(vi)	Provision for Taxation				0.00%
7.	Total Current Liabilities		3,698,702	4,901,763	-24.54%
6.	Non-Current Liabilities				
(i)	Long Term Loans	13	0	0	0.00%
(ii)	Accrue Markup on Long Term Liabilities	14	0	0	0.00%
(iii)	Others Liabilities		0	0	0.00%
7.	Total Non-Current Liabilities		0	0	0.00%
8.	Equity				
	Capital	15	61,681,682	38,816,523	58.89%
9.	TOTAL LIABILITIES & CAPITAL		65,380,384	43,721,286	49.54%
11.	Pledge Details		0	0	0.00%
12.	Leverage Market Position		0	0	0.00%

The figures submitted through this return are true to the best of our knowledge and nothing in this regard has been concealed.

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN

Quarterly Statement of Affairs

Name	SETHI SECURITIES (SMC-PVT.) LIMITED
Name of Member	SETHI SECURITIES (SMC-PVT.) LIMITED
Broker Registration Number	
Date of Initial Registration as Broker	25/06/2008
Next Date of Renewal of COR	27/04/2014
TREC Number - KSE	
Date of Acquiring TREC - KSE	
TREC Number - LSE	LSE/068/2012
Date of Acquiring TREC - LSE	13/08/2012
TREC Number - ISE	
Date of Acquiring TREC - ISE	
TREC Number - PSX	
Date of Acquiring TREC - PSX	
Date of Incorporation of the company	26/06/2007
Date of Acquiring Initial Membership	
Address of Registered Office	Room number 107 Lahore Stock exchange Building Lahore
Number of branches: (Annexure - A)	0
Number of registered agents: (Annexure - B)	0
Number of Clients: (Annexure-C)	216
Return for the quarter	Mar-2016

(Amount in Rupees)

Sl. No	ASSETS	Annexure	Current Quarter	Previous Quarter	Increase /(Decrease)
1.	Current Assets				
(i)	Cash and Bank	1	10,794,475	3,604,813	199.45%
(ii)	Trade Debts - Net	2	1,798,563	2,266,377	-20.64%
(iii)	Short Term Investments	3	34,331,675	30,890,036	11.14%
(iv)	Current Portion of Loans and Advances Receivable		844,666	844,306	11.89%
(v)	Short Term Prepayments, Deposits and other Receivables		0	0	0.00%
(vi)	Other Current Assets		0	0	0.00%
2.	Total Current Assets		47,869,379	37,605,532	27.29%
3.	Non-Current Assets				
(i)	Business Property	5	11,083,784	11,112,022	-25%
(ii)	Value of TREC	6	4,000,000	4,000,000	0.00%
(iii)	Investments in Associated Undertakings	7	0	0	0.00%
(iv)	Long Term investments	8	10,304,935	9,790,110	5.26%
(v)	Deposits with Stock Exchange, NCCPL and CDC	9	3,272,720	2,872,720	13.92%
(vi)	Other Non Current Assets		0	0	0.00%
4.	TOTAL ASSETS		76,530,818	65,380,384	17.05%
	LIABILITIES AND EQUITY				
6.	Current Liabilities				
(i)	Trade Payables	10	10,164,123	3,236,835	214.61%
(ii)	Current portion of Accrued Markup	11	0	0	0.00%
(iii)	Short Term Bank Borrowings	12	0	0	0.00%
(iv)	Current Portion of Long Term Loans		0	0	0.00%
(v)	Other Liabilities		484,459	461,867	4.89%
(vi)	Provision for Taxation		0	0	0.00%
7.	Total Current Liabilities		10,648,582	3,698,702	187.90%
6.	Non-Current Liabilities				
(i)	Long Term Loans	13	0	0	0.00%
(ii)	Accrued Markup on Long Term Liabilities	14	0	0	0.00%
(iii)	Others Liabilities		0	0	0.00%
7.	Total Non-Current Liabilities		0	0	0.00%
8.	Equity				
	Capital	15	65,882,236	61,681,682	6.81%
9.	TOTAL LIABILITIES & CAPITAL		76,530,818	65,380,384	17.05%
11.	Pledge Details		0	0	0.00%
12.	Leverage Market Position		0	0	0.00%

The figures submitted through this return are true to the best of our knowledge and nothing in this regard has been concealed.

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN

Quarterly Statement of Affairs

Name	SETHI SECURITIES (SMC-PVT.) LIMITED
Name of TREC holder	SETHI SECURITIES (SMC-PVT.) LIMITED
Broker Registration Number	
Date of Initial Registration as Broker	25/06/2008
Next Date of Renewal of COR	27/04/2014
TREC Number - KSE	
Date of Acquiring TREC - KSE	
TREC Number - LSE	LSE/068/2012
Date of Acquiring TREC - LSE	13/08/2012
TREC Number - ISE	
Date of Acquiring TREC - ISE	
TREC Number - PSX	
Date of Acquiring TREC - PSX	
Date of Incorporation of the company	26/06/2007
Date of Acquiring Initial Membership	
Address of Registered Office	Room number 107 Lahore Stock exchange Building Lahore
Number of branches: (Annexure - A)	0
Number of registered agents: (Annexure - B)	0
Number of Clients: (Annexure-C)	216
Return for the quarter	Jun-2016

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1-D #2249731
June-16

Sr. No		ASSETS	Annexure	Current Quarter	Previous Quarter	(Amount in Rupees) Increase / (Decrease)
1.		Current Assets				
	(i)	Cash and Bank	1	8,092,850	10,794,475	-25.03%
	(ii)	Trade Debts - Net	2	1,342,819	1,798,562	-25.34%
	(iii)	Short Term Investments	3	31,001,736	34,331,675	-9.70%
	(iv)	Current Portion of Loans and Advances Receivable		1,168,252	944,666	23.67%
	(v)	Short Term Prepayments, Deposits and other Receivables		0	0	0.00%
	(vi)	Other Current Assets		0	0	0.00%
2.		Total Current Assets		41,605,657	47,869,379	-13.09%
3.		Non-Current Assets				
	(i)	Business Property	5	11,001,780	11,083,784	-7.4%
	(ii)	Value of TREC	6	5,000,000	4,000,000	25.00%
	(iii)	Investments in Associated Undertakings	7	0	0	0.00%
	(iv)	Long Term Investments	8	14,896,158	10,304,935	44.55%
	(v)	Deposits with Stock Exchange, NCCPL and CDC	9	3,642,720	3,272,720	11.31%
	(vi)	Other Non Current Assets		0	0	0.00%
4.		TOTAL ASSETS		76,146,315	76,530,818	-.50%
		LIABILITIES AND EQUITY				
6.		Current Liabilities				
	(i)	Trade Payables	10	6,834,305	10,164,123	-32.76%
	(ii)	Current portion of Accrued Markup	11	0	0	0.00%
	(iii)	Short Term Bank Borrowings	12	0	0	0.00%
	(iv)	Current Portion of Long Term Loans		0	0	0.00%
	(v)	Other Liabilities		479,377	484,459	-1.05%
	(vi)	Provision for Taxation		0	0	0.00%
7.		Total Current Liabilities		7,313,682	10,648,582	-31.32%
6.		Non-Current Liabilities				
	(i)	Long Term Loans	13	0	0	0.00%
	(ii)	Accrued Markup on Long Term Liabilities	14	0	0	0.00%
	(iii)	Others Liabilities		0	0	0.00%
7.		Total Non-Current Liabilities		0	0	0.00%
	(iv)	Surplus on revaluation of fixed assets		0	0	0.00%
8.		Equity				
		Capital	15	68,832,633	65,882,236	4.48%
9.		TOTAL LIABILITIES & CAPITAL		76,146,315	76,530,818	-.50%
11.		Pledge Details		9,524,780	11,170,410	-14.73%
12.		Leverage Market Position		0	0	0.00%

The figures submitted through this return are true to the best of our knowledge and nothing in this regard has been concealed.